

BUSINESS FINANCIAL CHECK-UP

SCOTT D. PHILLIPS, CFR

CONTACT INFORMATION

NAME OF BUSINESS _____

BUSINESS ADDRESS _____

CITY _____ STATE _____ ZIP _____

TELEPHONE _____

EMAIL _____

YEAR ESTABLISHED _____ FISCAL YEAR END _____

CONTACT PERSON _____

TITLE _____

BUSINESS INFORMATION

FORM OF BUSINESS Limited Liability Corp. (LLC)
 Proprietorship C-Corporation
 Partnership S-Corporation
 Limited Partner Professional Corporation

OWNERSHIP PERCENTAGES

YOU _____ % 4. _____ %
 2. _____ % 5. _____ %
 3. _____ % 6. _____ %

KEY EMPLOYEES

1. _____ TITLE _____
 2. _____ TITLE _____
 3. _____ TITLE _____
 4. _____ TITLE _____
 5. _____ TITLE _____

NUMBER OF EMPLOYEES

0 - 3 11 - 30 101 - 500
 4 - 10 31 - 100 more than 500

ANNUAL GROSS REVENUE / SALES

< \$500,000 \$1 - 2,000,000 \$10 - 50,000,000
 \$500k - 700,000 \$2 - 5,000,000 over \$50,000,000
 \$700k - 1,000,000 \$5 - 10,000,000

ANNUAL NET PROFITS

< \$50,000 \$100 - 200,000 \$400,000 - 1M
 \$50 - 100,000 \$200 - 400,000 over \$1,000,000

APPROXIMATE MARKET VALUE OF BUSINESS

< \$200,000 \$500 - 1,000,000 \$2 - 5,000,000
 \$200 - 500,000 \$1 - 2,000,000 over \$5,000,000

YES	NO	Have you had a review in the last year of CONTRACTS?
YES	NO	A review in the last year of CORPORATE STRUCTURE?
YES	NO	A review in the last year of BUY/SELL AGREEMENT?
YES	NO	A review in the last year of RETIREMENT PLAN?
YES	NO	Have you had your company benefits reviewed by a benefits consultant in the last 12 months?
YES	NO	Is retaining key employees a concern?
YES	NO	Do you have a registered investment advisor holding employee meetings or offering employee support on your qualified plan 401 (a), 401(k), 403(b) etc.?
YES	NO	Would you Like our Benefits Consultants to review your benefits?
YES	NO	Are you interested in offering benefits that discriminate in favor of the owner and key employees?
YES	NO	Is your company covered in case of death or disability of a key employee?
YES	NO	Have you had your Workers Compensation and Commercial Insurance policies reviewed?
YES	NO	Would you like our team to review them?
YES	NO	Are you interested in looking at tax reduction strategies personally or for your business?
YES	NO	Do you have a continuation or succession plan for your business at death, disability and retirement?
YES	NO	Do other executives participate in planning your business affairs?
YES	NO	Are you currently happy with your Investment Broker or Insurance Broker?

BENEFITS OFFERED TO YOUR EMPLOYEES

Medical Profit Sharing LTC (tax-deductible)
 HSA 401(k) Deferred Comp.
 Dental Simple IRA Medical Reimbursements
 Vision Executive Carve-outs Life Insurance
 Group Life Group Disability
 other _____
 other _____

NOTES

